

# A Phylogeographic Contact Zone for Arctic Grayling (*Thymallus arcticus*) in Alberta, Canada

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1 **A phylogeographic contact zone for Arctic Grayling *Thymallus arcticus* in Alberta, Canada**

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7 Running Title: Phylogeography of Arctic Grayling in Alberta

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## 12 **Abstract**

13 Arctic Grayling *Thymallus arcticus* are a salmonid with a Holarctic distribution, extending from  
14 north-eastern Eurasia through north-western North America. Throughout their range, Arctic  
15 Grayling face a number of threats including angling mortality, habitat fragmentation and loss  
16 and climate change. Thus, there is a need to protect the species through targeted management  
17 actions. Genetic information can assist in determining the appropriate scale for these actions  
18 through description of Designatable Units (DUs). Here we use newly collected mitochondrial  
19 DNA sequence data to assess the phylogeographic structure of Arctic Grayling in Alberta,  
20 Canada and link these with previously collected mitochondrial and microsatellite data to  
21 determine how many DUs may exist across Canada. Our assessment of 831 base pairs of  
22 sequence data in 96 individuals found two deeply divergent lineages in Alberta. When  
23 combined with 22 previously collected sequences our results highlight that Alberta is a contact  
24 zone for the observed lineages of Arctic Grayling in North America. Reassessment of nine  
25 microsatellites genotyped in 1,116 individuals further highlighted inter-basin divergence, likely  
26 the result of historical processes. Given the divergence and geographic distribution of the  
27 genetic diversity, Arctic Grayling in Canada merit consideration for separate DUs in future  
28 species status assessments and management plans. Continuing research should aim to expand  
29 sampling geographically (e.g. regions east of Great Slave Lake and along the Arctic coastline) to  
30 clarify possible colonization routes, and add to or synthesize work on Arctic Grayling behaviour,  
31 morphology, and life-history to address the limited understanding of local adaptations within this  
32 species.

33

## 34 **Introduction**

35 There is broad agreement on the need to preserve intraspecific variation and protect  
36 evolutionary potential in the face of changing environments (Sgro et al. 2011; Eizaguirre and  
37 Baltazar-Soares 2014; Funk et al. 2019). Since the inception of the evolutionarily significant unit  
38 concept (Ryder 1986), conservation-based decisions and legislation are now often structured  
39 around groups below the species level (e.g., U.S. Endangered Species Act (U.S. Fish and Wildlife  
40 Service 2020), Canada Species at Risk Act (SARA; Government of Canada 2016). Within Canada,

41 provisions for subspecies, populations, or population components can be made if they are  
42 deemed discrete and evolutionarily significant (COSEWIC 2018). Such Designatable Units (DUs)  
43 allow a pragmatic approach to describing and protecting biodiversity, and enable monitoring  
44 and conservation actions at a smaller more manageable scale, rather than across an entire  
45 species range.

46 Mee and colleagues (2015) proposed a process to identify DUs in Canada to avoid  
47 potential subjectivity or inconsistency. Their decision tree consisted of four hierarchical criteria:  
48 named taxonomic entity or reproductive isolation, phylogenetic distinctness, local adaptation,  
49 and presence in different eco-geographic regions. Given that phylogenetic data is a key  
50 consideration in the delineation (i.e., number and spatial extent) of DUs there is a need for  
51 genetic information on species at risk in Canada.

52 Here we use newly collected mitochondrial DNA (mtDNA) sequence data to assess for  
53 the presence of phylogeographic structure of a fish species of conservation concern, the Arctic  
54 Grayling *Thymallus arcticus*. In North America, Arctic Grayling occur in drainages from Hudson  
55 Bay to Alaska, including the Northwest Territories, Yukon, and Nunavut, as well as northern  
56 areas of British Columbia, Alberta, Saskatchewan and Manitoba. In addition, a disjunct  
57 population is present in Montana, USA, and the species was once found in Michigan, USA  
58 (extirpated in 1936; Scott and Crossman 1998). Arctic Grayling face a number of threats  
59 including angling mortality, habitat fragmentation and loss due to land use changes, as well as  
60 climate change (Federal Registrar 2014; Cahill 2015). Throughout their range, many populations  
61 are considered secure, while others have collapsed or been extirpated (Northcote 1995; Behnke  
62 2002). For example, there has been a marked decline in Arctic Grayling abundance in Alberta,  
63 with a recent estimate of up to 70% reduction since the 1960s (Cahill 2015).

64 Despite concerns around localized population losses, Arctic Grayling do not currently  
65 have an at-risk status under SARA. However, in 2019, the Freshwater Fishes Sub-committee of  
66 the Committee on the Status of Endangered Wildlife in Canada considered the populations in  
67 the Western Arctic eco-geographic region, including those in Alberta, as having the highest  
68 priority for a full status assessment due to the risk of extinction (COSEWIC 2020; Accessed  
69 March 2020). Status assessments of Arctic Grayling outside of the Western Arctic region are not

70 currently a priority (COSEWIC 2020; Accessed Mar 2020). The Western Arctic region is over 1.8  
71 million km<sup>2</sup> (i.e., 20% of Canada (Rosenberg International Forum on Water Policy 2013)) and  
72 includes the Mackenzie River basin and other drainages into the Arctic Ocean, excluding the  
73 Arctic archipelagos. This large area encompasses declining Arctic Grayling populations at  
74 southern latitudes (e.g., Lashmar and Ptolemy 2002; Cahill 2015) and secure populations in the  
75 north (e.g., Working Group on General Status of NWT Species 2016). Different status  
76 designations at the federal level could be applied that are more reflective of these local  
77 conditions if there was adequate support (e.g. Mee et al. 2015) to divide the Western Arctic  
78 region into smaller DUs. Smaller management units within DUs can also be established by other  
79 management agencies, such as provincial and territorial governments, although they would lack  
80 the protections enabled under SARA.

81         There have been recent assessments of mtDNA variation of Arctic Grayling in North  
82 America that could help inform DU designations, but information gaps still exist. Redenbach  
83 and Taylor (1999) assessed sequence data and restriction fragment length polymorphisms  
84 (RFLP) and identified two potential refugia for Arctic Grayling during the Wisconsinan Ice Age:  
85 the Beringia Refugium north of the ice sheets and a southern refugium located in the upper  
86 Missouri River system or southern Alberta. These authors also postulated that Arctic Grayling  
87 may have survived in the Brooks Range Refugium, Nahanni River Refugium, and Mississippi  
88 Refugium based on the contemporary species range (Crossman and McAllister 1986), fossil  
89 evidence (Miller et al. 1993), and/or morphological differences (McCart and Pepper 1971), but  
90 results were inconclusive and additional sampling was recommended (Redenbach and Taylor  
91 1999). Further analysis of mtDNA diversity was conducted by Stamford and Taylor (2004) at 31  
92 localities distributed throughout the western portion of the species' range. These authors  
93 described three major lineages originating from three areas: a Nahanni lineage from the  
94 Nahanni River Refugium (Group A), a North Beringia lineage from the Brooks Range Refugium  
95 (Group B), and a South Beringia lineage from the Yukon River Valley Refugium (Group C). Their  
96 findings also suggest that a disjunct population belonging to the Group B lineage may have  
97 survived the last glaciation in a refugium located in the upper Missouri River system or  
98 southern Alberta, which eventually gave rise to current-day populations in Montana and

99 Saskatchewan. Notably, these previous studies did not sample a large north-eastern portion of  
100 the range (including Nunavut and parts of the Northwest Territories), nor the watersheds of  
101 Alberta that represent the southernmost extent of extant Arctic Grayling (omitting the disjunct  
102 population in Montana). Thus, new genetic data from Alberta Arctic Grayling would address an  
103 information gap for this recreationally and culturally important species and specifically inform  
104 DU delineation within the Western Arctic region. In addition, genetic assessment of populations  
105 in the province could provide insights on post-glacial colonization routes of freshwater fish  
106 species because Alberta is a possible contact zone for all extant North American lineages of  
107 Arctic Grayling.

108 The intention of this study is to compare and expand on the previous genetic  
109 assessments, fill in missing information on Arctic Grayling populations in Alberta and review the  
110 delineation of DUs across Canada. Specifically, our first objective is to determine the number  
111 and spatial extent of genetic lineages of Arctic Grayling in the province using new mtDNA  
112 sequence information. Second, we leverage previously collected data on mtDNA and  
113 microsatellite variation to better understand possible post-glacial colonization routes across  
114 North America. In terms of microsatellite variation, range expansion after glaciation is  
115 expected to leave predictable signals in the genome (Hewitt 1999, 2000), with variation highest  
116 closer to refugia, and then decreasing with distance along the expansion front due to successive  
117 founder effects. Finally, we place these results in the context of possible DU designations  
118 following the decision tree proposed by Mee and colleagues (2015), with a special focus on the  
119 Western Arctic region.

120

## 121 **Methods**

### 122 *Sample collection*

123 The study area was located in northern Alberta, Canada. Arctic Grayling were collected  
124 at 15 sites, including four sites in the Athabasca River basin, five sites in the Peace River basin  
125 and five sites in the Hay River basin (Table 1) by Reilly et al. (2014) or the provincial government  
126 of Alberta using angling or backpack electrofishing from May to September, 2007 – 2012. There  
127 are no known movement barriers between sites. We assumed that Arctic Grayling in this study

128 represented both sexes and different age classes based on the range in length (64mm to  
129 402mm). Tissue samples consisted of dried pelvic fin clips and scales stored at room  
130 temperature, or of adipose and pelvic fin clips stored in 95% ethanol at -20°C.

131

### 132 *DNA extraction, PCR, alignment, and quality trimming*

133 Total genomic DNA was extracted using Qiagen DNeasy Blood and Tissue Kits following  
134 the manufacturer's protocol. A fragment of the mtDNA Dloop and cytochrome B gene was  
135 amplified via PCR using either the primers CLeu3-F (5'-GGAACCAAAAACCTTGGTGCAACTC-3';  
136 (Bernatchez and Osinov 1995)) with CGlu-R (5'-GACTTGAAGAACCACCGTTG-3'; (Park et al.  
137 1993)), or DloopCytB-IntF (5'-TTTGTAGCCATGCTTCTAGGC-3') with DloopCytB-IntR (5'-  
138 TGCGACTCTCGATACTTGTC-3'). PCR products were cleaned with Exo/Sap, prepared for  
139 sequencing using BigDye version 3.1, and then sequenced in both the forward and reverse  
140 direction on an ABI 3730 DNA Analyzer at the Molecular Biology Services Unit at the University  
141 of Alberta. Sequence chromatograms were aligned in Geneious (version 11.1.5) using the  
142 MAFFT algorithm (version 7.450; (Kato and Standley 2013)). Alignments were then inspected  
143 by eye and per individual consensus sequences generated. Finally, a global alignment across all  
144 individuals was generated using the MAFFT algorithm and exported for analyses.

145

### 146 *Sequence analyses*

147 We first constructed a rooted phylogenetic tree using IQ-tree (version 1.6.10; Nguyen et  
148 al. 2014) implemented on the Cipres Science Gateway (Miller et al. 2010). IQ-tree uses a  
149 maximum likelihood algorithm for tree construction, and we employed 1000 bootstrap  
150 replicates to assess robustness of the inferred relationships. In this analysis a sequence from  
151 *Thymallus baicalolenensis* is the outgroup (GenBank Accession KY078221.1) as this species was  
152 recently shown to be the closest sister taxa to *T. arcticus* (Weiss et al. 2021). We then assessed  
153 relationships among the newly generated sequences using a median-joining haplotype network  
154 generated in PopArt (Leigh and Bryant 2015) using TCS algorithm (Clement et al. 2000) with  
155 default settings.

156 We calculated sequence diversity statistics (number of haplotypes, haplotype diversity,  
157 and nucleotide diversity) using DnaSP (version 5.10.01; Librado and Rozas 2009) and average  
158 divergence between the two main genetic lineages discovered (see Results) with MEGA X  
159 (Kumar et al. 2018; Stecher et al. 2020). For the latter, test significance was assessed with 500  
160 bootstrap replicates. We conducted analyses of molecular variance (AMOVA) using Arlequin  
161 (version 3.5.2.1; Excoffier and Lischer 2010) to see how variation was partitioned among groups  
162 of individuals. For both the diversity statistics and AMOVA analyses we considered two  
163 groupings of our individuals: 1) sampling sites nested within basins, and 2) sampling sites  
164 nested within the two main genetic lineages discovered (see Results).

165 We integrated our new sequences with previously collected mtDNA sequences for  
166 North American Arctic Grayling. This included those collected by Stamford and Taylor (2004) ( $N$   
167 = 14; GenBank accession numbers AY528426 - AY528439), three sequences from Koskinen et al.  
168 (2002) (accession numbers AY168400 - AY168402), and five unpublished sequences for Arctic  
169 Grayling collected in Alaska (accession numbers KT630692- KT630696), for a combined dataset  
170 of  $N = 118$  sequences. Following the methods outlined above, we aligned the new sequences to  
171 ours in Geneious then created an additional haplotype network with this expanded dataset.

172

### 173 *Microsatellite diversity*

174 Using data from Reilly et al. (2014) we examined geographic patterns of microsatellite  
175 diversity. In their work, Reilly et al. (2014) genotyped 1,116 Arctic Grayling captured from 40  
176 sites in the Hay, Peace, and Athabasca River basins at nine microsatellite loci to examine fine-  
177 scale population structure within basins. Using this dataset we first assessed broad-scale  
178 genetic groups among the basins using the program STRUCTURE version 2.3.4 (Pritchard et al.  
179 2000; Falush et al. 2003). STRUCTURE implements Bayesian clustering analysis, which assumes  
180 a model with  $K$  unknown clusters representing genetic populations, and then assigns individual  
181 ancestry among clusters based on allele frequencies. We ran ten repetitions for  $K = 1 - 13$ , with  
182 a burn-in of 100,000 iterations and MCMC length of 200,000 iterations. These runs used the  
183 admixture model, correlated allele frequencies among populations, and did not assume prior  
184 population information. All other parameters were left at default values. Results were post-

185 processed using CLUMPAK (Kopelman et al. 2015) and the  $\Delta K$  statistic (Evanno et al. 2005) was  
186 used to infer the best K. We then focused on the 29 sites from the Athabasca River basin (N =  
187 880 individuals) and examined how allelic richness of each sample site varied with latitude and  
188 longitude. Allelic richness values were taken from Reilly et al. (2014) where values had been  
189 standardized by sample size using HP-Rare version 1.0 (Kalinowski 2005). Specifically, using the  
190 R statistical suite (version 3.6.0; R Core Team 2019) we fit two weighted linear regression  
191 models with per-site allelic richness as the dependant variable, and either latitude or longitude  
192 as the independent variable. In both models, weights corresponding to the number of samples  
193 at each site. We did not consider a single model which incorporated both latitude and longitude  
194 as the two are highly correlated due to the locations of the sample sites (Pearson's product-  
195 moment correlation = 0.674,  $t = 4.7352$ ,  $DF = 27$ ,  $p\text{-value} = 6.206 \times 10^{-5}$ ).

196

## 197 **Results**

198 After alignment and quality trimming we were able to obtain 831 base pairs (bp) of  
199 sequence data for 96 individuals. These sequences have been deposited on GenBank with  
200 accession numbers MT212424-MT212519 [embargoed until publication]. The maximum  
201 likelihood phylogenetic tree showed two divergent clades within Arctic Grayling (Figure 1A).  
202 Within one of these clades, two subclades can be seen, however the bootstrap support  
203 separating them was low (66%). The two divergent clades were also recovered in the haplotype  
204 network, separated by 18 mutations (Figure 1B). One clade, which we will call the Nahanni  
205 lineage, was represented by a single haplotype. The other clade, which we will call the Beringia  
206 lineage, contained five haplotypes separated by up to four mutations, but with two haplotypes  
207 representing the majority of samples (Table 2). Average pairwise divergence between the two  
208 lineages was  $2.2\% \pm 0.64\%$  (mean  $\pm$  SD).

209 Samples from the same location may have both Nahanni and Beringia haplotypes  
210 (Figure 2, Table S.1). However, the Nahanni haplotype was restricted to locations in the Hay  
211 River and Peace River basins. When grouped by sampling sites within basins, the AMOVA  
212 showed that the majority of variation was within sampling sites followed by among basins and  
213 then among sampling sites within basins. However, when sampling sites were grouped within

214 lineages, nearly all variation was attributed to the lineages not among or within sampling sites  
215 (Table 3). We note though that differences in sample sizes among sites, as well as the presence  
216 of only a single haplotype within the Nahanni lineage, influences the variance of these diversity  
217 statistics.

218         The 22 previously collected mtDNA sequences overlapped at 438 bp with our samples  
219 from Alberta. Despite the reduced sequence length, we still resolved two divergent genetic  
220 lineages (Figure S.1). In this dataset, Arctic Grayling from Alberta contained four haplotypes, of  
221 which three were shared with those previously found. One lineage was represented by a single  
222 haplotype shared by Alberta Arctic Grayling and a sequence from Stamford and Taylor (2004).  
223 The second lineage contained nine haplotypes separated by at most four base-pair changes.  
224 Here, three haplotypes were found in our newly sequenced individuals with one rare haplotype  
225 unique to Alberta Arctic Grayling and the two most common haplotypes shared with those  
226 previously found. Three main patterns were observed after examining the geographic  
227 distribution of the haplotypes from this combined analysis (Figure 3): 1) concordance of the  
228 Nahanni lineage (i.e., Group A haplotypes) from Stamford and Taylor (2004), 2) the majority of  
229 Beringia haplotypes we observed in the Hay River and Peace River basins clustered with those  
230 from 'Group C' of Stamford and Taylor (2004), and 3) haplotypes found in the Athabasca River  
231 basin clustered with those of 'Group B' from Stamford and Taylor (2004) (Table S.1).

232         Initial assessment of inter-basin population structure using the microsatellite data from  
233 Reilly et al. (2014) strongly supported  $K = 2$  (Table S.2), which separated the Athabasca River  
234 basin from the Hay River and Peace River basins (Figure 4). However, it is known that the  
235 program STRUCTURE can be biased towards selection of  $K = 2$  even when there is additional  
236 genetic structure within the dataset (Janes et al. 2017; Cullingham et al. 2020). Therefore, we  
237 looked for evidence of hierarchical genetic structure within the Peace River and Hay River  
238 basins by analyzing those basins separately for  $K = 1 - 4$ , and keeping all run parameters the  
239 same as for the complete dataset. Again,  $K = 2$  was heavily supported (Table S.3), with both  
240 sites in the Hay River basin clustering together with two sites in the lower Peace River basin.  
241 However, at  $K = 3$  the two sites in the Hay River basin differentiate from the two genetic  
242 clusters in the Peace River basin (Figure 4). Within the Athabasca River basin, microsatellite

243 allelic richness was strongly correlated to latitude and longitude, being higher in more  
244 northeastern locations (Figure S.2; Pearson's product-moment correlation to latitude = 0.667,  $t$   
245 = 4.6513, DF = 27,  $p$ -value =  $7.774e^{-05}$ , Pearson's product-moment correlation to longitude =  
246 0.408,  $t$  = 2.3197, DF = 27,  $p$ -value = 0.0282).

247

## 248 **Discussion**

### 249 *Major phylogeographic lineages of Arctic Grayling*

250 In this study we used mitochondrial DNA sequence variation to examine divergence and  
251 biogeographic patterns of Arctic Grayling in Alberta, Canada. Our first objective was to  
252 enumerate and describe the distribution of distinct lineages of Arctic Grayling in the province.  
253 We observed two deeply divergent lineages we called Nahanni and Beringia (Figure 1) to reflect  
254 their putative refugial origins during the Wisconsin Ice Age. Fish belonging to the Nahanni  
255 lineage were found throughout the Hay River basin and at one sampling locality in the Peace  
256 River basin (Figure 2). Beringia lineage fish were primarily distributed throughout the Athabasca  
257 River and Peace River basins, with a few located in the Hay River basin.

258 The observation of two major lineages matches a previous phylogenetic  
259 assessment (Weiss et al. 2006), but differs from the interpretation of Stamford and  
260 Taylor (2004). These authors identified three major lineages: one that is concordant with the  
261 Nahanni lineage we describe, and two lineages, rather than one, which originated within the  
262 Beringia Refugium. This additional split was only weakly supported across the analyses  
263 considered (Stamford and Taylor 2004) and while our phylogenetic tree also showed two  
264 subclades within the Beringia lineage, the distinction was attributed to a small number of base  
265 pair changes among haplotypes (Figure 1) that may indicate a more recent isolation  
266 event. Considering the current evidence, we propose that two lineages best characterize the  
267 significant phylogeographic patterns of Arctic Grayling in North America. However,  
268 consideration of a larger portion of the mitochondrial genome or use of nuclear markers may  
269 better resolve the question of one or two major Beringian lineages. Additional lineages may  
270 also be identified upon assessment of individuals from the currently un-sampled north-eastern  
271 portion of the species range.

272 Our results highlight that Alberta is a contact zone for the Nahanni and Beringia lineages  
273 of Arctic Grayling. At this point we are unable to conclusively say whether this contact is  
274 reflective of historical processes, contemporary ones, or both. There has been limited stocking  
275 of Arctic Grayling within the province, most of which has occurred outside of the species range  
276 or was within isolated water bodies that are not hydrologically connected to surrounding river  
277 systems. For example, there are no known cases of Arctic Grayling being stocked into the Hay  
278 River basin (Government of Alberta 2021). Additionally, it is highly unlikely that the two original  
279 donor populations used for Arctic Grayling stocking in the province contained individuals  
280 belonging to the Nahanni lineage because they are situated in the Athabasca River basin (i.e.,  
281 Freeman Lake) and the upper Peace River basin (i.e., Beaverlodge River) where Nahanni lineage  
282 haplotypes have not been detected. Lastly, the results from the microsatellite-based Bayesian  
283 clustering analysis lend weight to contact being the result of historical processes. Specifically,  
284 we found the highest level of differentiation distinguished fish from the Athabasca River basin  
285 relative to those from the Peace River and Hay River basins. When searching for genetic  
286 substructure within the Peace River and Hay River basins, the main signal at  $K = 2$  distinguished  
287 locations known or likely to have individuals from the Nahanni lineage. Differentiation between  
288 watersheds became apparent only when examining  $K = 3$ . These results are similar to the fine-  
289 scale assessment of population genetic structure of Arctic Grayling in Alberta conducted by  
290 Reilly et al. (2014). Here the authors found distinct populations in Hardy-Weinberg equilibrium  
291 within basins.

292

### 293 *Post-glacial colonization routes across North America*

294 The broad-scale patterns of mtDNA and microsatellite variation can be used to develop  
295 hypotheses of post-glacial dispersal routes (Hewitt 1999, 2000). The Nahanni lineage we  
296 observed may have survived glaciation in the Nahanni River valley to later disperse into the  
297 Liard, Nahanni, Hay and Peace rivers (Stamford and Taylor 2004). Although we did not find  
298 evidence of two deeply divergent lineages originating from Beringia, the geographic distribution  
299 of the haplotypes within the Beringia lineage may reflect post-glacial colonization patterns. The  
300 majority of Beringia haplotypes we observed in the Hay River and Peace River basins clustered

301 with the 'Group C' haplotypes of Stamford and Taylor (2004) (Figure 3). This may indicate that  
302 Arctic Grayling in these regions are mostly decedents of fish who dispersed through the Yukon  
303 River southward into the Peace River via the route described in Stamford (1996). In contrast,  
304 the haplotypes found in the Athabasca River basin clustered with the 'Group B' haplotypes that  
305 Stamford and Taylor (2004) predominately sampled in Montana, northern Saskatchewan and  
306 northern Alaska. It remains unclear if the Athabasca River basin was colonized by fish that  
307 dispersed through glacial lakes (e.g., Lake Agassiz) from a Missouri River refugium (Redenbach  
308 and Taylor 1999), by Arctic Grayling travelling along the Arctic coastline and then into inland  
309 waters moving east and then south from northern Beringia, or by a mix of these two scenarios.  
310 Previous genetic studies on Burbot *Lota lota* (Van Houdt et al. 2005), Lake Trout *Salvelinus*  
311 *namaycush* (Wilson and Hebert 1998), and Northern Pike *Esox lucius* (Skog et al. 2014) provide  
312 evidence that both routes were possible. Regardless of a northern origin (i.e., Beringia  
313 Refugium) or southern origin (i.e., upper Missouri River/southern Alberta Refugium), our work  
314 suggests that colonization into the Athabasca River basin proceeded in a southern direction  
315 given that microsatellite diversity declined with decreasing latitude (Figure S.2).

316 We do not believe that contemporary stocking would influence these hypotheses  
317 around post-glacial colonization routes. In the mid-1980s there were three stocking events of  
318 Arctic Grayling from the Athabasca River basin into the Peace River basin (Schroeder 1987) that  
319 could have resulted in fish with 'Group B' haplotypes occurring in the Peace River basin,  
320 specially within Wapiti River and Burnt River (Figure 3; Redenbach and Taylor 1999; Stamford  
321 and Taylor 2004). However, we deem it highly improbable that stocking resulted in  
322 contemporary admixture between the Beringian groups due to substantial distances (i.e., 150  
323 to over 1000 river kilometers) between the stocked waterbodies and the study locations, the  
324 low numbers of stocked fish (i.e., 165 to 1400 fingerlings), and the lack of evidence that  
325 stocking resulted in self-sustaining populations (Government of Alberta 2021).

326

### 327 *Designatable Units of Arctic Grayling in Canada*

328 Mee et al. (2015) considered four factors in hierarchical sequence when determining the  
329 number and spatial extent of DUs. Specifically, they assigned DU status under any of the

330 following scenarios: 1) a group comprises a taxonomic entity or is reproductively isolated in  
331 sympatry from other groups, 2) the group has a unique phylogeographic history, 3) the group  
332 has a trait resulting from an independent case of local adaptation, or 4) the group inhabits a  
333 different eco-geographic region.

334         Given the divergence and geographic distribution of the genetic diversity we observed,  
335 Arctic Grayling in Canada meet the criteria for separate DU designations as proposed by Mee  
336 and colleagues (2015). While Arctic Grayling in North America are part of a single named  
337 species, we argue that the strong spatial structure and deep divergence between the Nahanni  
338 and Beringia lineages fulfill the criteria of phylogenetic distinctness. The local adaptation  
339 criterion is more difficult to assess because we are not aware of research comprehensively  
340 investigating regional differences in behaviour, morphology, or life history of Arctic Grayling in  
341 Canada. However, the presence of distinct genetic clusters at small spatial scales (Stamford and  
342 Taylor 2005; Peterson and Ardren 2009; Reilly et al. 2014) suggest that local adaptations could  
343 have developed (Savolainen et al. 2013; Blanquart et al. 2013; Hoban et al. 2016) and previous  
344 studies provide clues of which aspects of Arctic Grayling biology may be the targets of such  
345 adaptations. For example, there is evidence of two morphological phenotypes (i.e., large-scale  
346 and small-scale forms) from Alaska (McCart and Pepper 1971; Reed and Mccann 1973) and  
347 there may be physiological and behavioural adaptations in terms of salinity tolerance (Blair et  
348 al. 2016; Heim et al. 2016), thermal tolerance (LaPerriere and Carlson 1973; Lohr et al. 1996;  
349 Blair et al. 2016) and inter-annual site fidelity to summer feeding areas (Buzby and Deegan  
350 2000). Lastly, when considering the final criterion, the species is found in four eco-geographic  
351 regions: the Pacific, Yukon, Western Hudson Bay, and Western Arctic. Phylogenetic history of  
352 fish from the Western Hudson Bay region is currently unknown. Considering the available  
353 information on Arctic Grayling and following the process proposed by Mee et al. (2015), there is  
354 support for five DUs: DU1 (Pacific Region), DU2 (Yukon Region), DU3 (Western Hudson Bay  
355 Region), DU4 (Western Arctic Region – Beringia lineage) and DU5 (Western Arctic Region –  
356 Nahanni lineage).

357         Additional DUs may be appropriate should future research reveal evidence of finer scale  
358 structure or local adaptations. In Canada, DU assignment during species assessments is

359 ultimately the decision of the Committee on the Status of Endangered Wildlife in Canada, which  
360 may consider other information and guidelines outside of the Mee et al. (2015) process. In  
361 addition, given that both the Nahanni and Beringia lineages of Arctic Grayling are present at  
362 several locations within and outside of Alberta it is possible that the lineages may interbreed  
363 with one another. For example, a few locations in the Peace River and Hay River basins show  
364 both lineages are present, though we would argue that it is not widespread. However, such  
365 contemporary hybridization presents potentially difficult management scenarios as the  
366 consequences of hybridization can range from adaptive introgression and hybrid vigor (e.g.  
367 Pardo-Diaz et al. 2012; Oziolor et al. 2019), to extinction of parental lineages through hybrid  
368 swarm formation (Todesco et al. 2016). Currently there is no consensus on how to treat hybrids  
369 in conservation and management including via legislation (Haig and Allendorf 2006; Hamilton  
370 and Miller 2016; Kovach et al. 2016; Lind-Riehl et al. 2016; Chan et al. 2019). Therefore, each  
371 case will need continued monitoring and consideration on how to best address hybrid  
372 individuals.

373

### 374 **Conclusion**

375 In the face of the diverse threats impacting Arctic Grayling (Federal Registrar 2014;  
376 Cahill 2015) partitioning the species into multiple DUs could help to better understand local  
377 population status and focus conservation actions where the species is most at risk. Going  
378 forward, additional samples, both in terms of regions of the genome as well as physical  
379 locations would help provide more evidence for colonization patterns and delineation of DUs  
380 (Funk et al. 2012; Coates et al. 2018; Barbosa et al. 2018). Specifically, samples from the  
381 Canadian territories (i.e., east of Great Slave Lake and along the Arctic coastline) would clarify  
382 possible colonization routes for the Beringia and Nahanni lineages and help to delineate the  
383 spatial extent of the Nahanni lineage. We also recommend targeted research and a synthesis of  
384 published works on regional differences in Arctic Grayling behaviour, morphology, and life  
385 history characteristics, as well as further investigation of the genomic basis of such traits, to  
386 address the limited understanding of local adaptations within this species. This would improve

387 the current weight of evidence approach when evaluating the discreteness and evolutionary  
388 significance of Arctic Grayling populations, particularly those within the Western Arctic region.

389

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400 and 12-1201 FRL).

401

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602  
603

1 Table 1. Site locations of Arctic Grayling captured in the Hay River, Peace River, and Athabasca River  
 2 basins in Alberta, Canada. Shown are the site codes, sampling location, and total numbers of individuals  
 3 genotyped (*N*).

Watercourse	Site code	Latitude (dec. deg.)	Longitude (dec. deg.)	<i>N</i>
Hay River basin				
Dizzy Creek	DiC	59.222	-116.135	4
James Creek	Ja	59.364	-116.297	10
Slavey Creek	SIC	59.009	-116.934	6
Whitesand River	WhR	59.625	-115.695	2
Yates River	Ya	59.791	-116.468	3
Peace River basin				
Caribou River	Ca	58.775	-115.889	12
Carl Creek	CaC	58.771	-115.803	4
Kemp River	KeR	57.549	-117.628	1
Wapiti River	Wa	54.737	-120.000	6
Wentzel River	WeR	58.720	-114.665	3
Athabasca River basin				
Athabasca River	AtN	53.980	-116.934	12
Dismal Creek	Di	53.108	-115.684	12
Driftpile River	Dr	55.078	-115.735	11
House River	Ho	55.642	-112.150	10

4

5

1 Table 2: Diversity statistics for 831bp of mtDNA assessed in 96 Arctic Grayling from Alberta,  
2 Canada. Statistics were calculated when samples were grouped either per basin or based on  
3 lineage.

Sample grouping	N samples	N haplotypes	Haplotype diversity	Haplotype diversity SD	Nucleotide diversity (PI)	Nucleotide diversity SD
Athabasca	45	4	0.245	0.081	0.00031	0.00011
Hay	28	3	0.463	0.098	0.00929	0.00172
Peace	19	3	0.573	0.061	0.01104	0.00105
Beringia	68	5	0.526	0.051	0.00167	0.00017
Nahanni	28	1	0.000	0.000	0.00000	0.00000

4

5

1 Table 3: Analysis of molecular variance for 831bp of mtDNA assessed in 96 Arctic Grayling  
 2 from Alberta, Canada. Statistics were calculated when samples were grouped either per basin or  
 3 based on lineage

Source of variation	Basin				Lineage			
	d.f.	Sum of squares	Variance components	Percentage of variation	d.f.	Sum of squares	Variance components	Percentage of variation
Among groups	2	174.844	2.213	41.88	1	358.969	8.975	94.23
Among populations within groups	14	221.246	2.957	55.94	15	37.121	0.434	4.56
Within populations	79	9.129	0.116	2.19	79	9.129	0.116	1.21

4  
 5  
 6

1 Figure 1: **(A)** Maximum-likelihood tree of 831bp of mtDNA in 96 Arctic Grayling samples from  
2 Alberta, Canada rooted with *T. baicalolenensis*. Sample prefixes correspond to locations as in  
3 Table 1. Numbers next to branches represent percent bootstrap support. The triangle is a cartoon  
4 representation for diversity among several haplotypes. Scale bar represents expected number of  
5 substitutions per site. **(B)** Median-joining haplotype network of 831bp mtDNA in 96 Arctic  
6 Grayling samples from Alberta, Canada. Each circle represents a single haplotype and hashes  
7 across branches represent the number of DNA mutations between haplotypes. The size of the  
8 circle proportional to the number of samples with that haplotype, and colors represent the basin  
9 where a sample was collected. The white node represents an unsampled, hypothetical haplotype.

10

11 Figure 2: Maps showing the distribution of haplotypes based on 831bp mtDNA in 96 Arctic  
12 Grayling from Alberta, Canada. Each circle represents a sampling location with the size  
13 proportional to the number of individuals sequenced at that location and colours representing  
14 either the genetic lineage (left panel) or individual haplotypes observed (right panel).

15

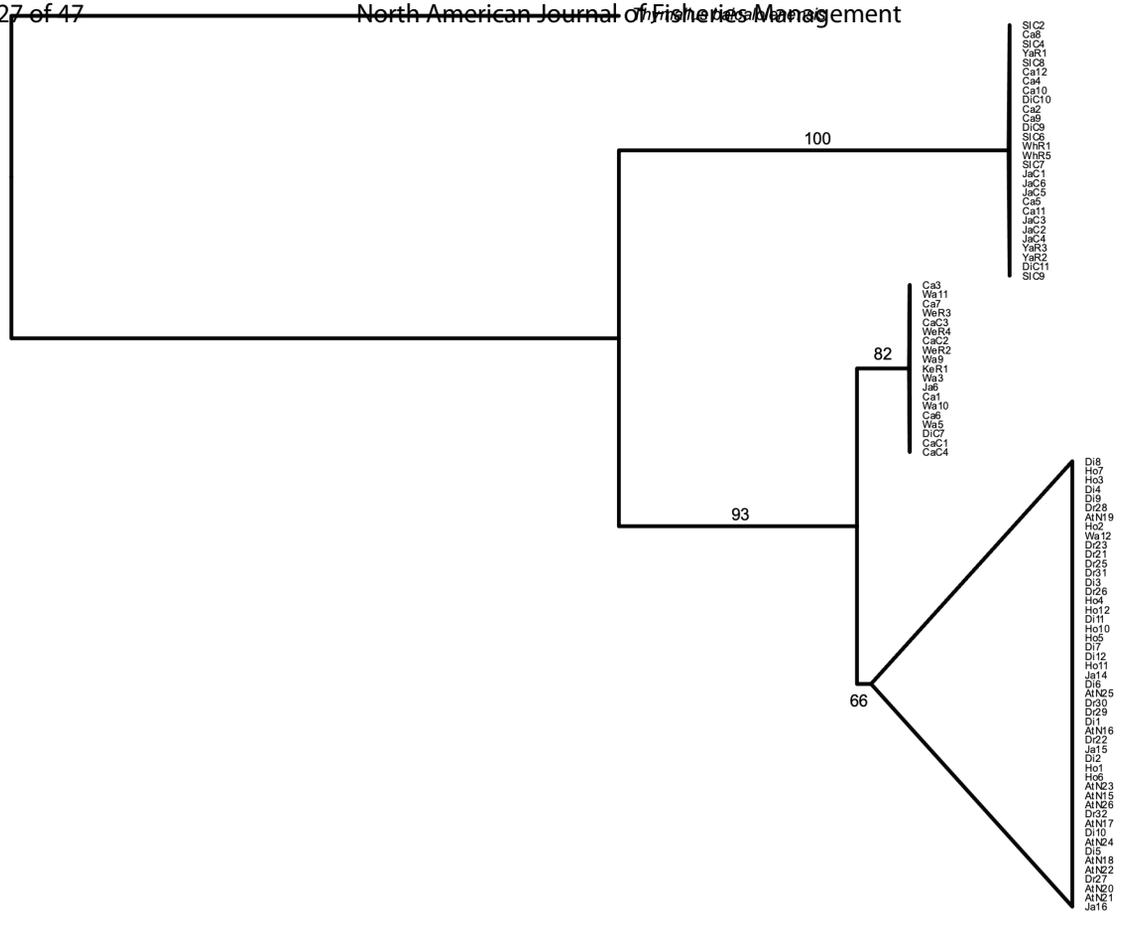
16 Figure 3: Map showing the distribution of haplotype groupings across North America based on  
17 the combined dataset of 438bp of mtDNA. Each circle represents a sampling location with the  
18 size proportional to the number of individuals considered at that location and colours  
19 representing Group A, B, and C haplotypes described by Stamford and Taylor (2004).

20

21 Figure 4: Ancestry barplot based on nine microsatellite loci for 1,116 Arctic Grayling from  
22 Alberta, Canada. The number of genetic clusters (K) is shown next to each plot, and each lineage  
23 is identified by a different color. Individuals are represented as a vertical bar, with the proportion  
24 of color in a bar equal to the percent ancestry to that cluster. Basins are indicated below each  
25 barplot.

26

A)



SIC2  
SIC8  
SIC4  
Yar1  
SIC8  
Ca12  
C4  
Ca10  
DIC10  
Ca2  
C8  
DIC9  
SIC5  
WhR1  
WhR5  
SIC7  
Jac1  
Jac6  
Jac9  
Ca5  
Ca11  
Jac3  
Jac2  
Jac4  
Yar3  
Yar2  
DIC11  
SIC9

Ca3  
Wa11  
Ca7  
WeR3  
Cac3  
WeR4  
Cac2  
WeR2  
Wa9  
KeR1  
Wa3  
Ja5  
Ca1  
Wa10  
Ca6  
Wa5  
DIC7  
Cac1  
Cac4

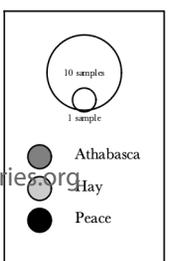
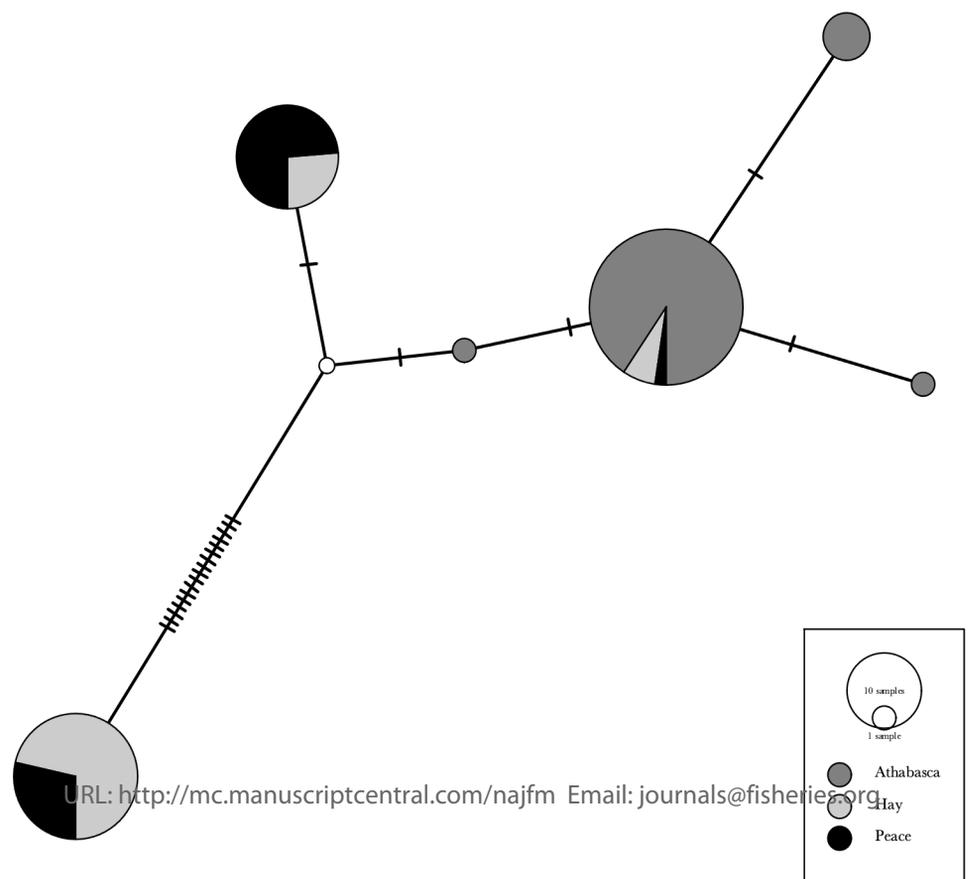
D18  
Ho7  
Ho3  
D18  
D28  
AN19  
Ho2  
Wa12  
D23  
D21  
D25  
D31  
D3  
D26  
Ho4  
Ho12  
D11  
Ho10  
Ho5  
D7  
D12  
Ho1  
Ja14  
D16  
AN25  
D30  
D29  
D1  
AN16  
D22  
Ja15  
D2  
Ho1  
Ho6  
AN23  
AN15  
AN28  
D32  
AN17  
D10  
AN24  
D15  
AN18  
AN22  
D27  
AN20  
AN21  
Ja16

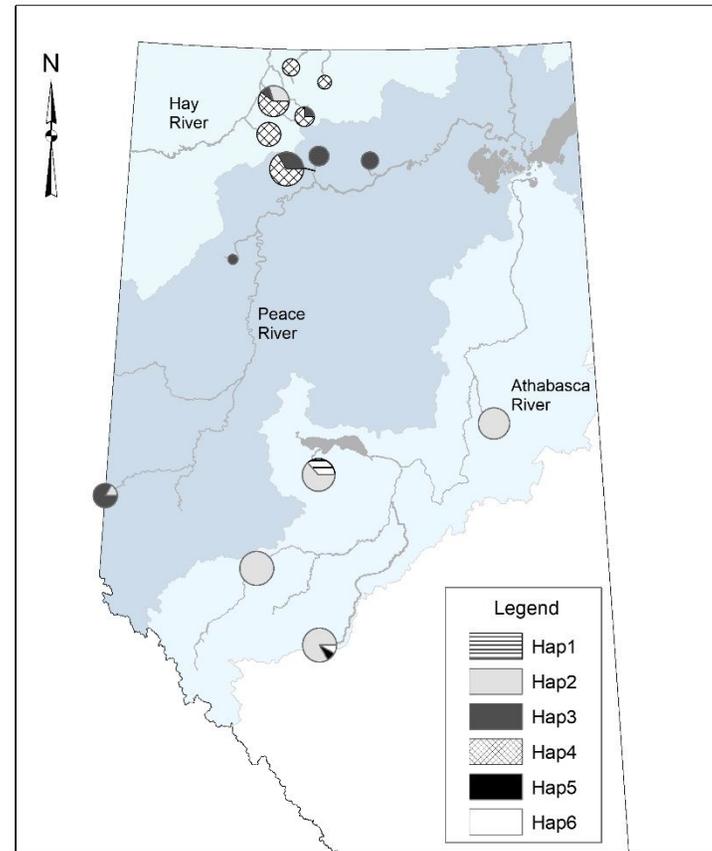
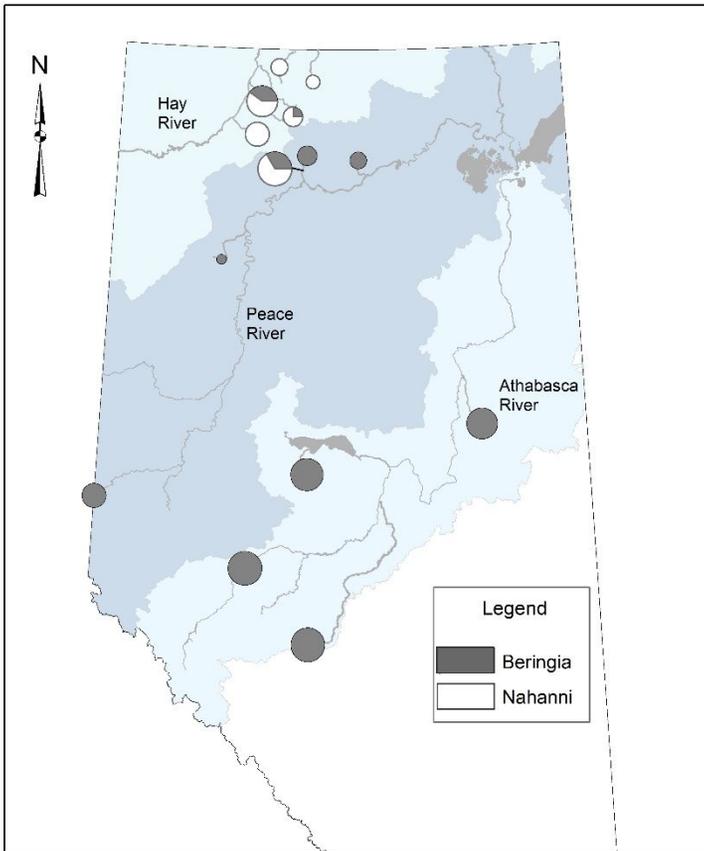
Nahanni

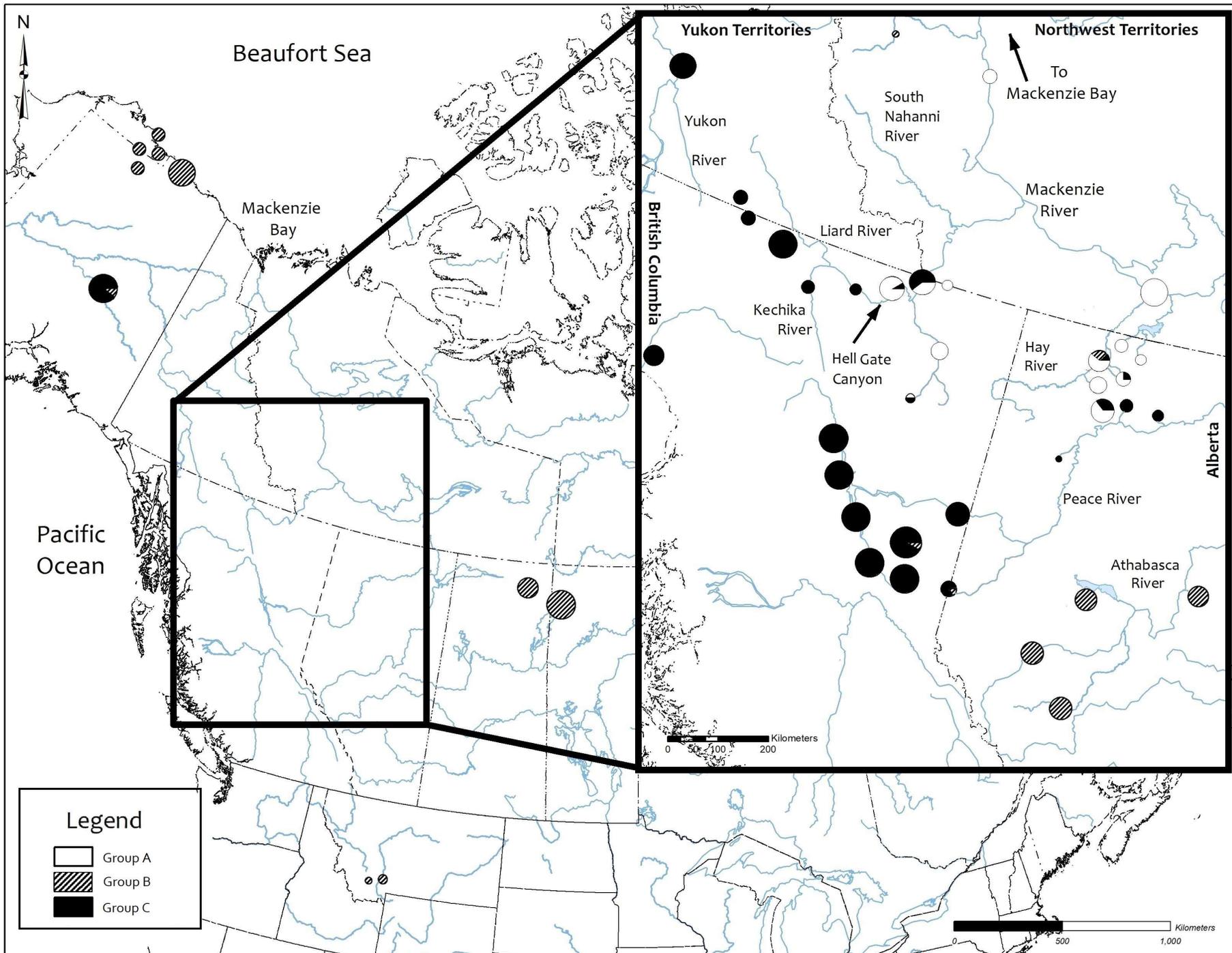
Beringia

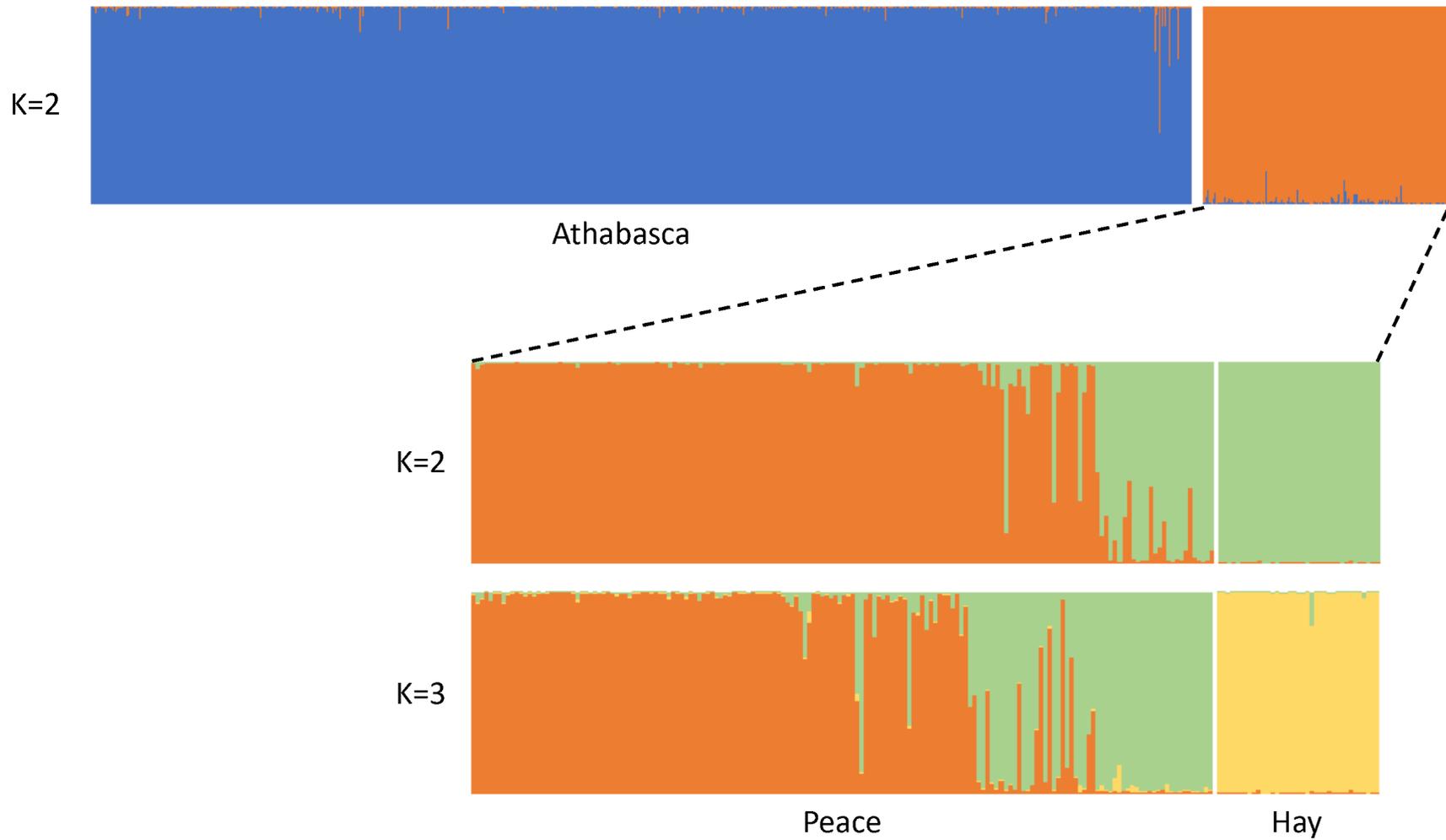
0.006

B)









1 Table S.1: Comparison of observed haplotypes, and the number of individuals assigned to the Beringia and Nahanni lineages defined  
 2 by the current study based on the 831bp dataset, or the Group A, B and C haplotypes described by Stamford and Taylor (2004) based  
 3 on the combined dataset of 438bp.

Watercourse	Site code	Current study						Stamford and Taylor (2004)					
		Hap1	Hap2	Hap3	Hap4	Hap5	Hap6	Beringia	Nahanni	Group A	Group B	Group C	N.A. <sup>1</sup>
Hay River basin													
Dizzy Creek	DiC	0	0	1	3	0	0	1	3	3	0	1	0
James Creek	Ja	0	3	1	6	0	0	4	6	6	3	1	0
Slavey Creek	SIC	0	0	0	6	0	0	0	6	6	0	0	0
Whitesand River	WhR	0	0	0	2	0	0	0	2	2	0	0	0
Yates River	Ya	0	0	0	3	0	0	0	3	3	0	0	0
Peace River basin													
Caribou River	Ca	0	0	4	8	0	0	4	8	8	0	4	0
Carl Creek	CaC	0	0	4	0	0	0	4	0	0	0	4	0
Kemp River	KeR	0	0	1	0	0	0	1	0	0	0	1	0
Wapiti River	Wa	0	1	5	0	0	0	6	0	0	1	5	0
Wentzel River	WeR	0	0	3	0	0	0	3	0	0	0	3	0
Athabasca River basin													
Athabasca River	AtN	0	12	0	0	0	0	12	0	0	12	0	0
Dismal Creek	Di	0	10	0	0	1	1	12	0	0	11	0	1
Driftpile River	Dr	4	7	0	0	0	0	11	0	0	11	0	0
House River	Ho	0	10	0	0	0	0	10	0	0	10	0	0

4 <sup>1</sup>No assignment

5

6

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8 Table S.2: Mean likelihood value, standard deviation (SD), and Delta K values from STRUCTURE analyses of 1,116 Arctic Grayling  
 9 from the Athabasca River, Peace River, and Hay River basins genotyped at nine microsatellite loci.

K	Mean ln(P K)	SD	Delta K
1	-42079.33	0.11	
2	-38300.79	0.82	3254.48
3	-37190.35	2.58	187.92
4	-36564.41	18.60	1.27
5	-35914.92	56.54	2.22
6	-35390.82	249.80	1.01
7	-35117.83	303.99	0.88
8	-35113.17	448.22	0.73
9	-34779.78	322.69	0.74
10	-34684.07	384.43	0.14
11	-34641.24	383.50	0.68
12	-34857.48	253.44	0.63
13	-34913.91	25.74	

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12 Table S.3: Mean likelihood value, standard deviation (SD), and Delta K values from STRUCTURE analyses of 207 Arctic Grayling  
 13 from the Athabasca River, Peace River, and Hay River basins genotyped at nine microsatellite loci.

K	Mean ln(P K)	SD	Delta K
1	-8434.56	0.46	
2	-7640.70	1.50	313.65
3	-7317.67	1.55	99.26
4	-7148.42	1.88	

14

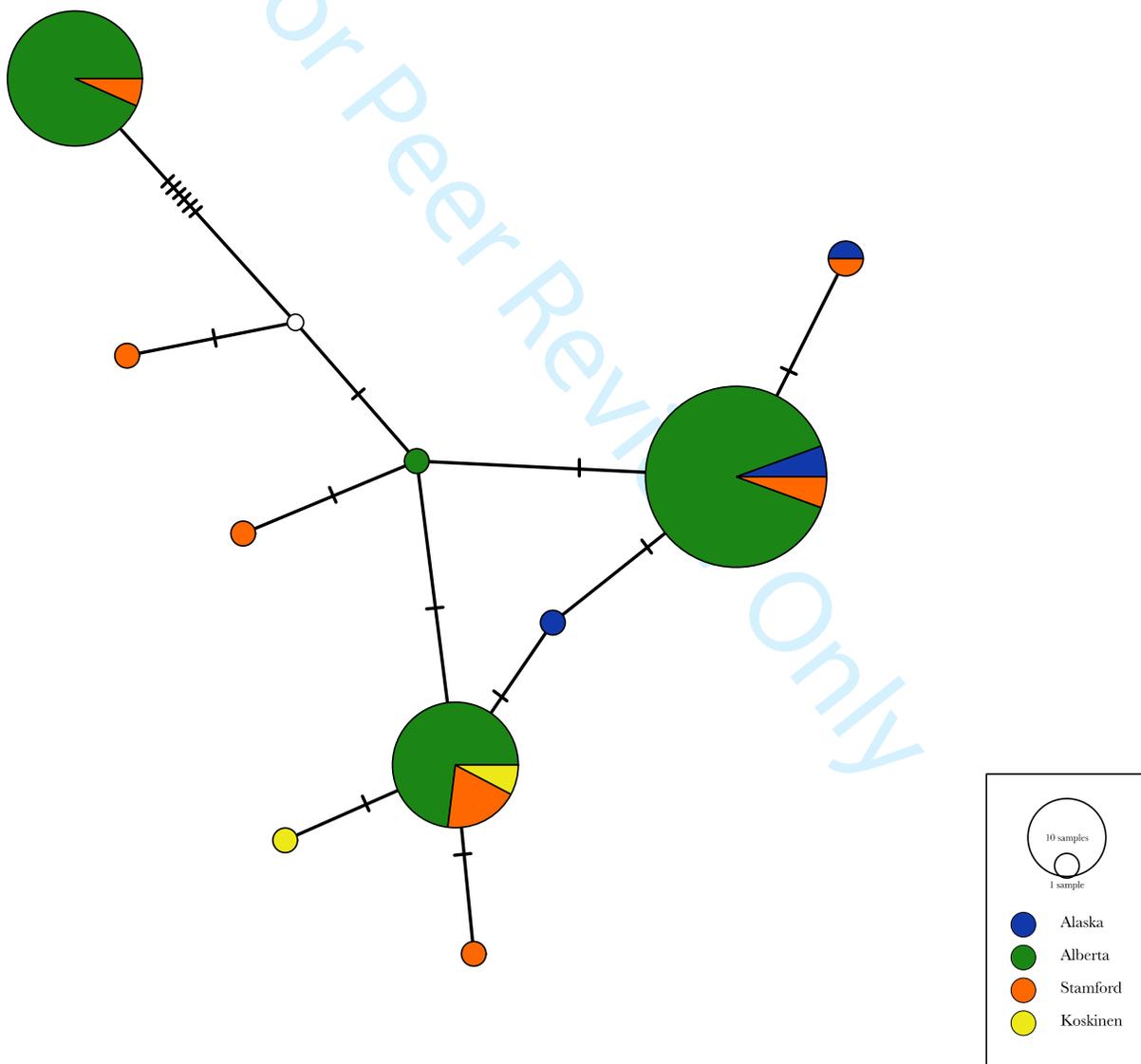
1 Figure S.1: Median-joining haplotype network of 432bp mtDNA in 118 Arctic Grayling samples.  
2 Each circle represents a single haplotype and hashes across branches represent the number of  
3 DNA mutations between haplotypes. The size of the circle proportional to the number of samples  
4 with that haplotype, and colors represent the study or location in which that haplotype was  
5 observed. The open circle represents an unsampled, hypothetical haplotype. In the legend  
6 Stamford refers to Stamford and Taylor (2004) and Koskinen to Koskinen et al. (2002).

7  
8 Figure S.2: Scatter plot showing relationship between geographic location and microsatellite  
9 genetic diversity of Arctic Grayling within the Athabasca River basin. Each point represents a  
10 single sample site and points are coloured based on average allelic richness (Ar) measured at  
11 nine microsatellite loci using data from Reilly et al. (2014).

12

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Supplementary Figure 1: Median-joining haplotype network of 432bp mtDNA in 118 Arctic Grayling samples. Each circle represents a single haplotype and hashes across branches represent the number of DNA mutations between haplotypes. The size of the circle proportional to the number of samples with that haplotype, and colors represent the study or location in which that haplotype was observed. The open circle represents an unsampled, hypothetical haplotype. In the legend Stamford refers to Stamford and Taylor (2004) and Koskinen to Koskinen et al. (2002).



Supplementary Figure 2: Scatter plot showing relationship between geographic location and microsatellite genetic diversity of Arctic Grayling within the Athabasca watershed. Each point represents a single sample site and points are coloured based on average allelic richness (Ar) measured at 9 microsatellite loci.

